

Disclosure Supplement

If an investigator answers “Yes” to any question on the Investigator Disclosure Statement, the following supplemental information is required.

Name of Investigator:

Project Role and Responsibilities:

Name of Entity in which you have a financial interest:

1. Do you hold a management position such as board member, director, officer, partner, or trustee in the entity listed above?

No Yes

If Yes, position(s) held: _____

2. Do you, your spouse, registered domestic partner, or dependent child(ren) have:

A. An investment of \$5,000 or more in the sponsor or entity listed above?

No Yes

If Yes, check all that apply: Stock Stock Options Bonds Other (specify):

If stock or stock options, number of shares: _____ and/or
estimated current dollar value: \$ _____

If bonds, estimated current value: \$ _____

Estimated percentage of total value of the company your interest represents: _____%

B. Whether or not you, your spouse or domestic partner, and dependent child(ren) already have an existing investment in the entity listed above, do you anticipate obtaining any new financial interests?

No Yes If Yes, describe:

3. Did you, your spouse or registered domestic partner or dependent child(ren) receive income (including any payment, such as salary or consulting fees, royalty payments (paid directly by the entity), reimbursement of expenses (including travel), etc.) of \$5,000 or more received within the last 12 months from the entity listed above?

No Yes Amount: \$ _____

Do you, your spouse or registered domestic partner or dependent child(ren) receive income or anticipate receiving income of \$5,000 or more during the next 12 months?

No Yes Anticipated Amount: \$ _____

If you received income, or anticipate receiving income, please specify the type. Check all that apply:

- ___ Consulting Income
- ___ Honoraria Salary
- ___ Reimbursement for travel, per diem, other
- ___ Royalties (not from SUNY RF)
- ___ Dividends
- ___ Other (specify):

4. Have you received any loans received from the entity listed above for which the outstanding balance has exceeded \$5,000 in the past 12 months?

No Yes Balance: \$ _____ Interest rate: _____%

5. Do you have an inventive or ownership interest in any intellectual property (IP) (e.g., inventions, tangible research materials, copyrights, etc.) that will be utilized in this project?

No Yes If Yes, please answer questions below.

- Provide a brief non-technical description of the intellectual property involved.
- Will the IP be used as a research tool or will it be further developed under the proposed research?
- Please identify the owner of the IP to be utilized in this project:

6. Do you own or have any other financial interest in an entity that is proposed as a subcontractor, consortium member, or lessor, or is otherwise involved in the project? Please describe the relationship.

7. Do you receive reimbursed or sponsored travel related to your Institutional responsibilities? This does not include travel that is reimbursed or sponsored by a federal, state, or local government agency, an Institution of higher education, academic teaching hospital, medical center, or research institute affiliated with an Institution of higher education.

8. If you reported a significant financial interest related to this project, please explain, to the best of your ability, how this interest may have a direct and significant impact on the design, conduct or reporting of the proposed research or, alternatively, why you believe it will not have a direct and significant impact.

VERIFICATION: I have used all reasonable diligence in preparing this statement, and to the best of my knowledge it is true and complete.

Signature

Date